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# Cyclic Dependencies in Modular Performance Analysis

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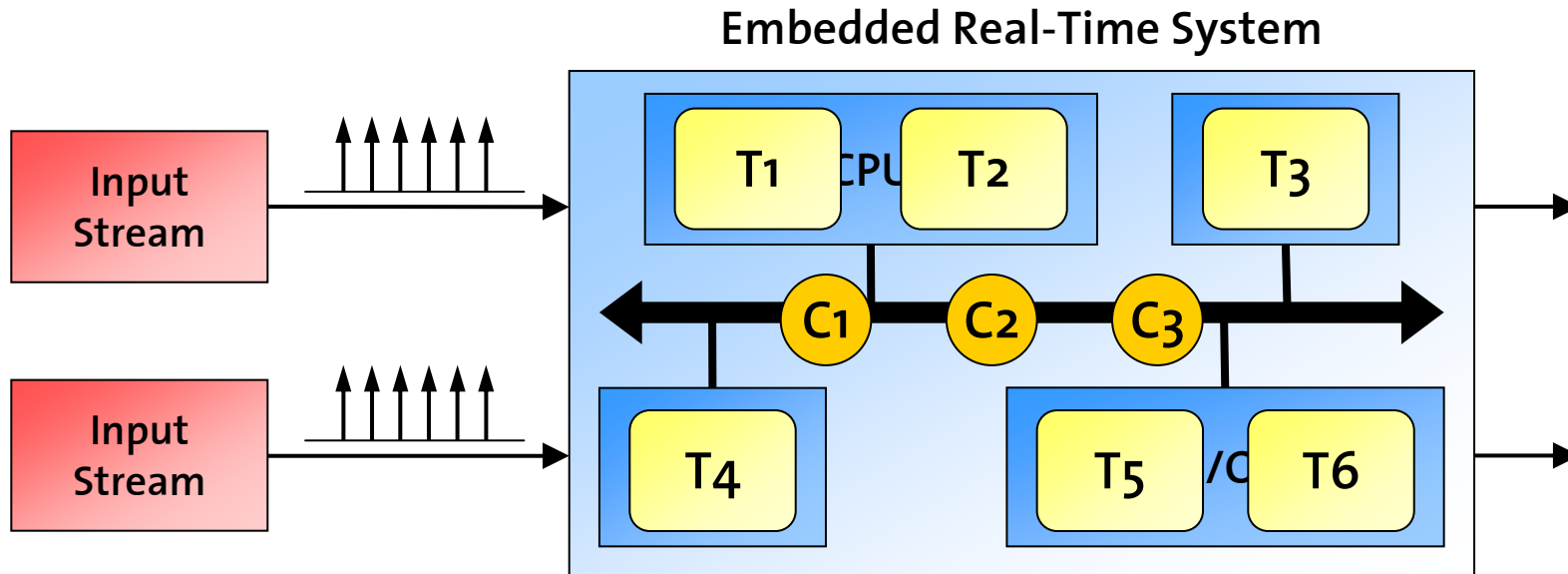
<sup>2</sup> ETH Zürich

# Outline

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- Real-Time Calculus (RTC)
- Motivation
- Fixed point iteration - Questions
- New Operational Model
- Results
- Example
- Conclusions

# Performance Analysis



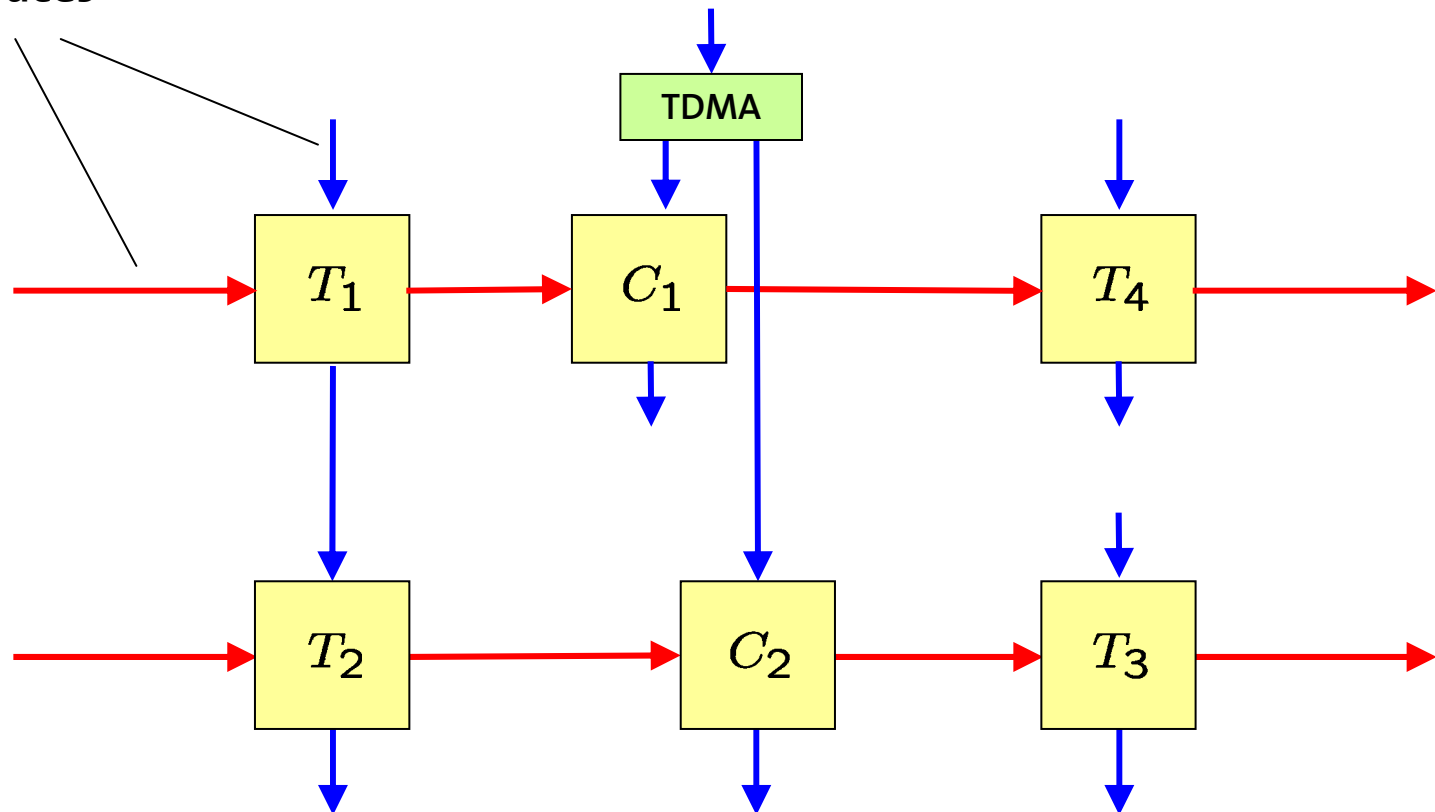
End-to-end delays ?

Buffer sizes ?

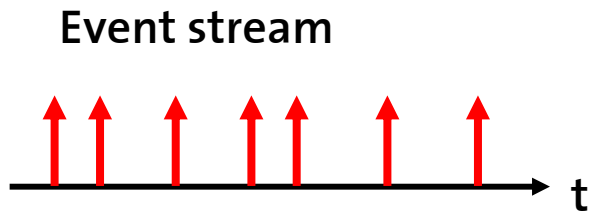
Throughput ?

# Modular Performance Analysis (MPA)

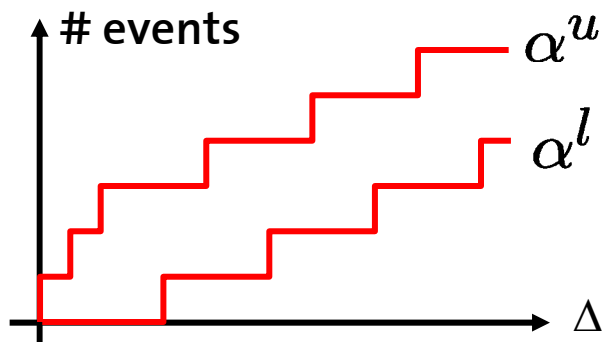
Component  
interfaces



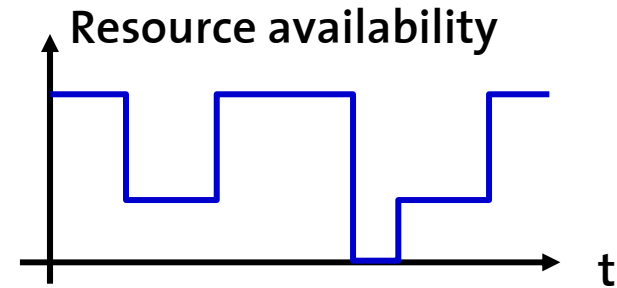
# Real-Time Calculus



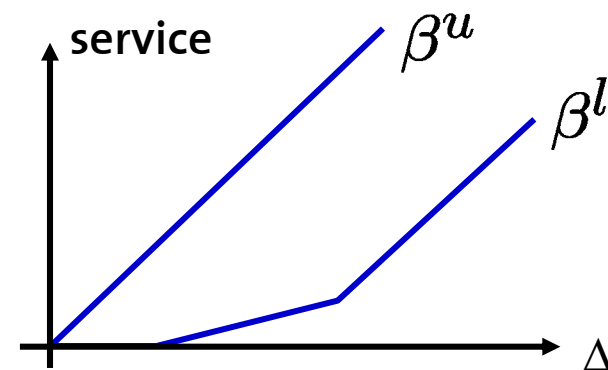
↓ Load model



Arrival curves

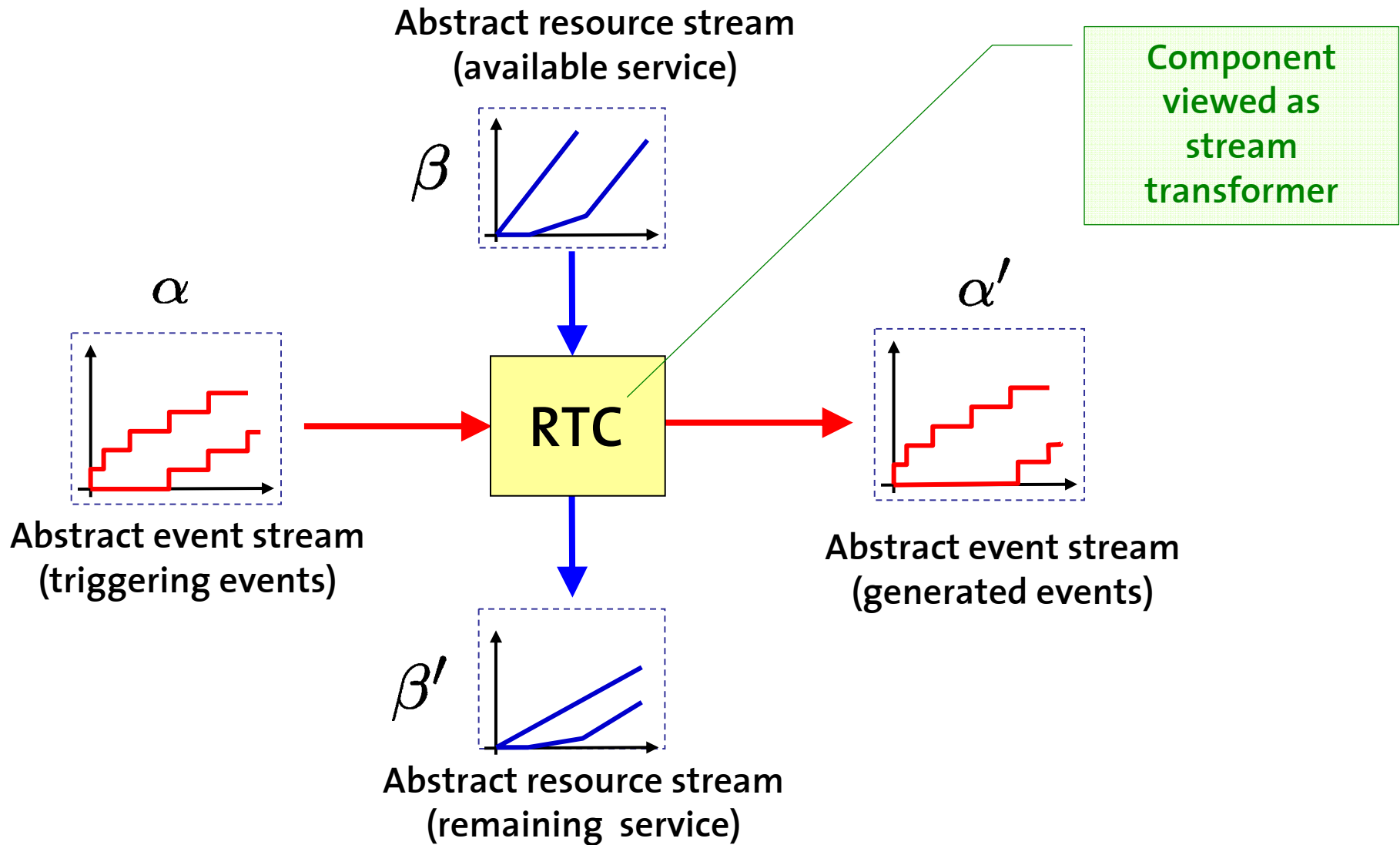


↓ Service model



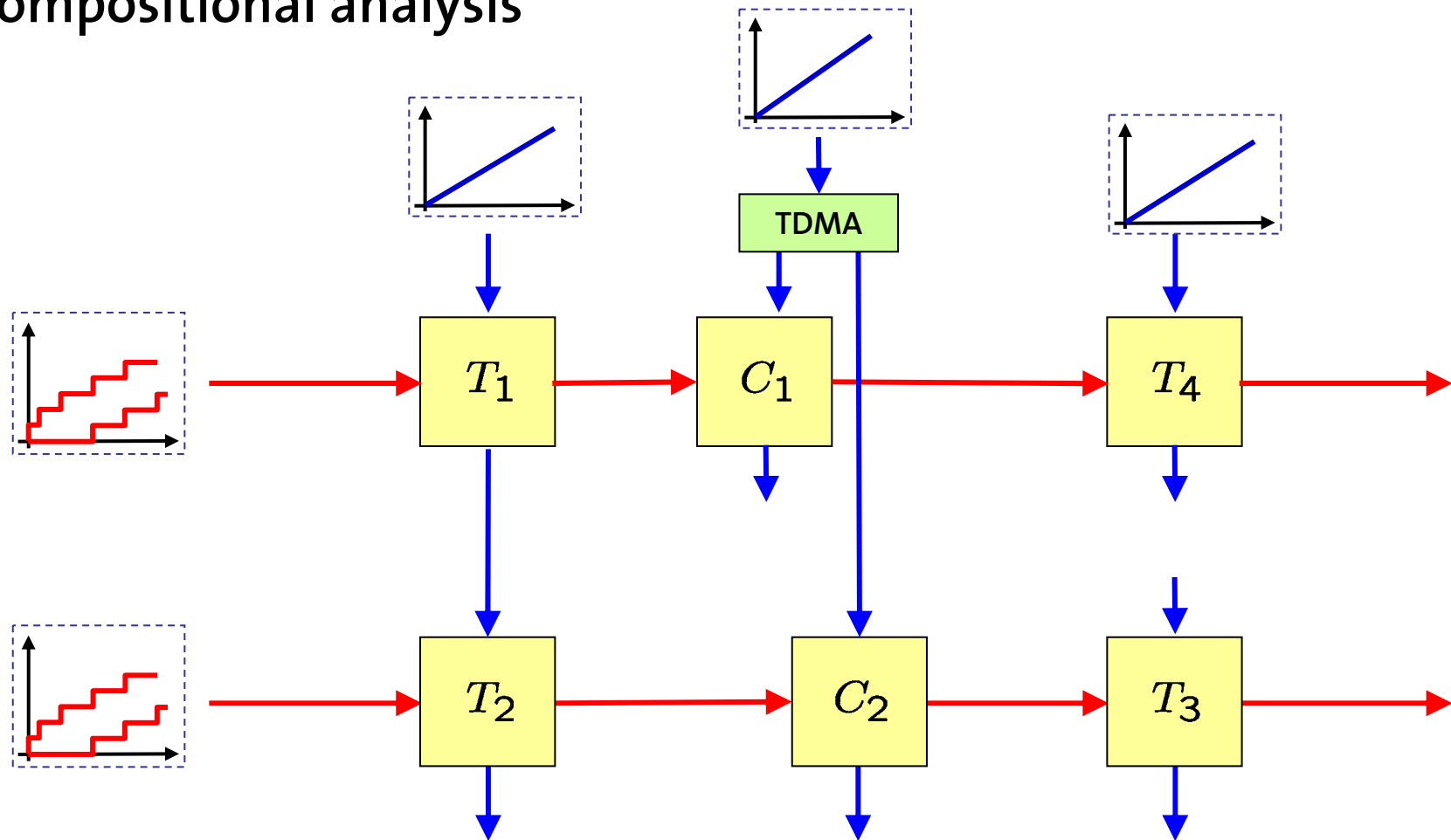
Service curves

# Real-Time Calculus



# Real-Time Calculus

## Compositional analysis



# Outline

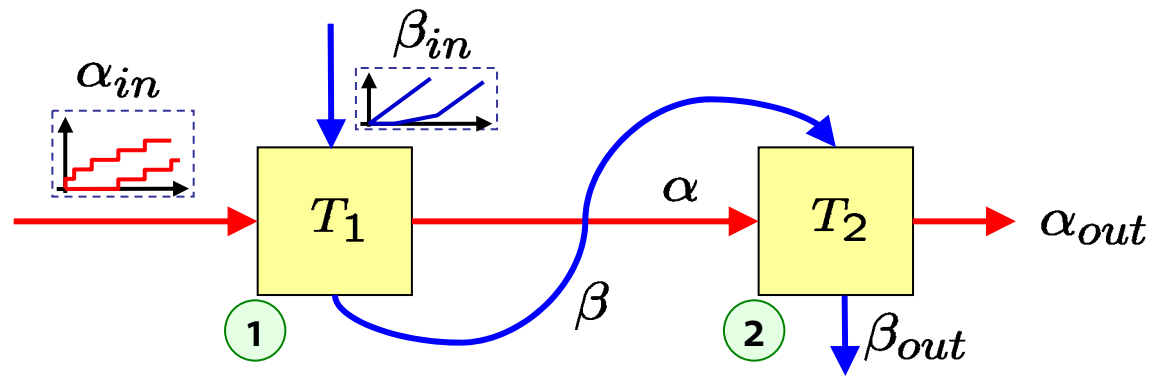
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- Real-Time Calculus (RTC)
- **Motivation**
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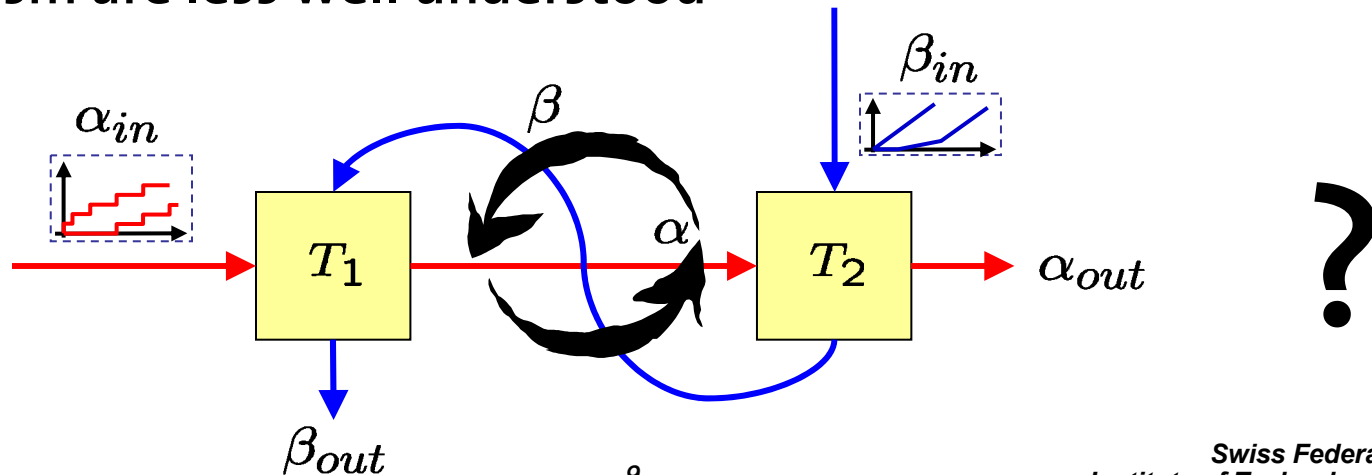


# Motivation

- Modular Performance Analysis used successfully on acyclic systems



- For systems with cyclic dependencies the foundations of the formalism are less well understood



# Outline

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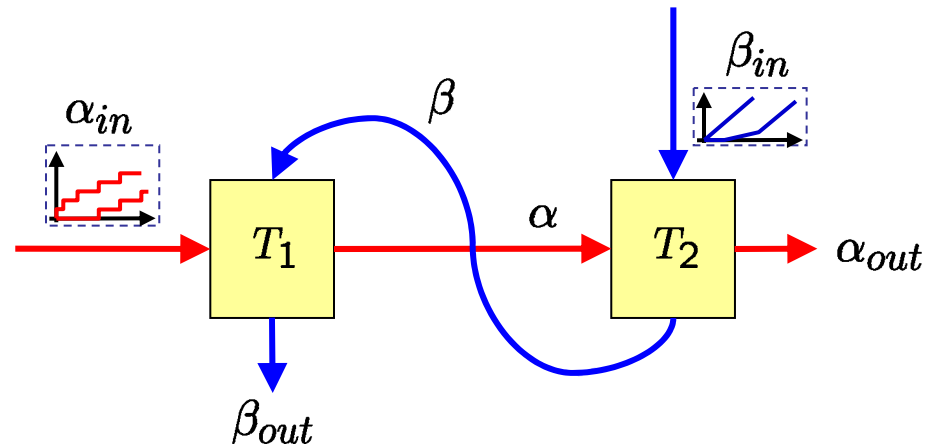
- Real-Time Calculus (RTC)
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# Fixed point iteration

$$\Sigma_{in} := (\alpha_{in}, \beta_{in})$$

$$\Sigma_h := (\alpha, \beta)$$

$$\Sigma := (\Sigma_{in}, \Sigma_h)$$



$\psi$  : mapping  $\Sigma \rightarrow \Sigma'$  according to transfer functions of RTC

Fixed point  $\Sigma^*$ : solution of equation  $\Sigma = \psi(\Sigma)$

Starting from an initial approximation  $\Sigma^0$  we can compute the sequence  $\Sigma^0, \Sigma^1, \Sigma^2, \dots$  with  $\Sigma^{k+1} = \psi(\Sigma^k)$  hoping that it converges to a limit  $\Sigma^*$

# Questions

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- Will any fixed point of  $\psi$  correctly characterize all possible traces of the system ?
- Can there be several fixed points ?
- If so, is there an optimal fixed point (i.e. one that provides tighter bounds than all others) ?
- Can an (optimal) fixed point be computed as the limit of a sequence  $\Sigma^0, \Sigma^1, \Sigma^2, \dots$  of approximations ?
- Will the iteration always converge to a limit  $\Sigma^*$  ?
- How to choose the initial approximation  $\Sigma^0$  ?

# Related work

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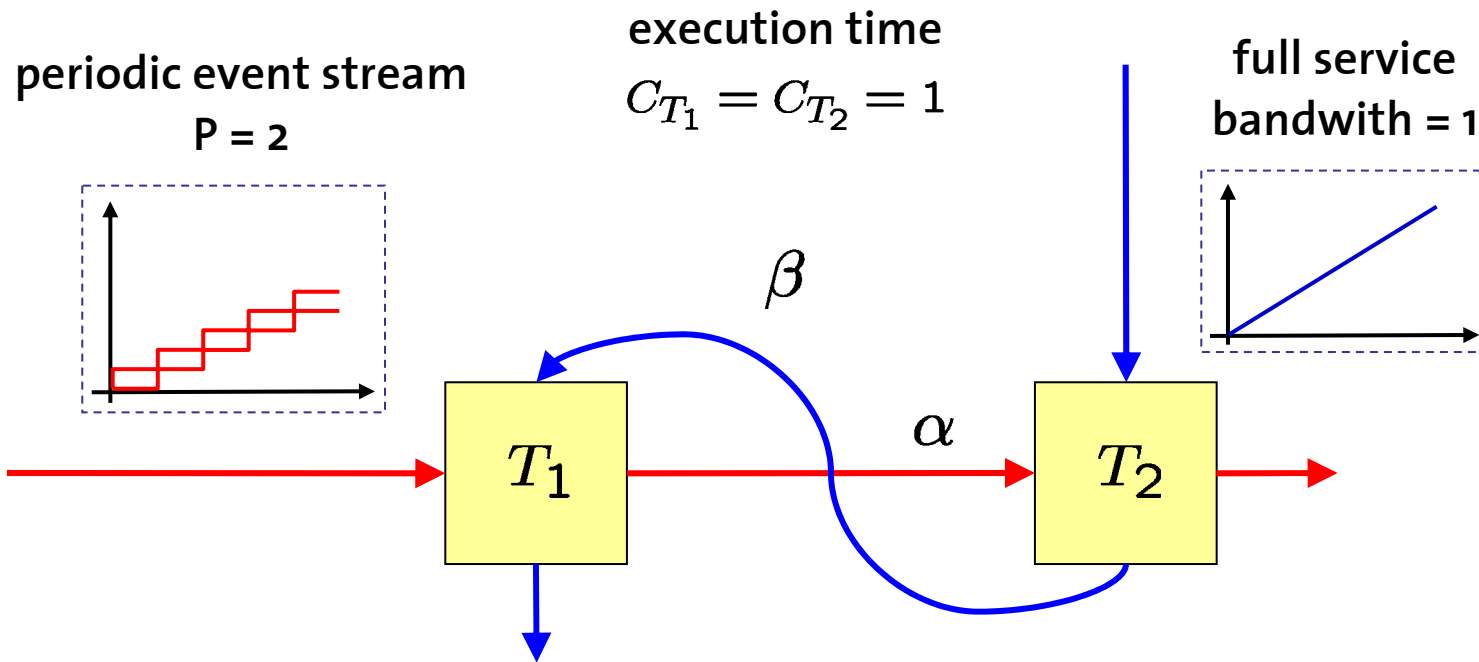
- **[Jersak, Richter, Ernst - 2005]**
  - Consider special case of cyclic dependencies in periodic-with-jitter event model (functional cycles)
  - Only informal statements about convergence properties
- **[Schiøler et al. - 2005]**
  - First results on Cyclic Network Calculus
  - Use analytically derived “long-term rates” as initial values for fixed point iteration
  - Do not provide an explicitly defined operational model of system behaviors

# Contributions

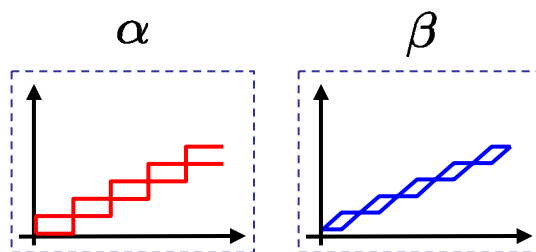
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- We introduce a simple operational model of distributed systems and develop a general **operational semantics** underlying the Real-Time Calculus
- On this basis we show that the behavior of cyclic systems can be analyzed by **fixed point iteration**
- We prove central properties about **faithfulness of fixed points** computed with RTC
- We provide a method that leads to the **optimal fixpoint**

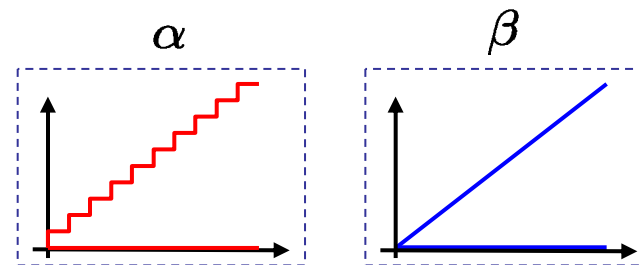
# In general fixed points are not unique..



Fixed point 1 (optimal):



Fixed point 2 (no information):



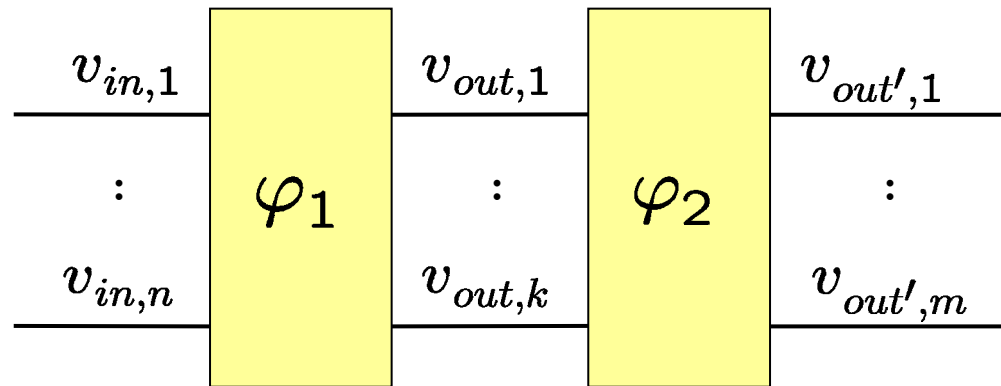
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# Operational model (1)



$V$  : set of streams

$Tr(V)$  : set of traces  
on streams  $V$

**Trace:**  $\sigma : V \mapsto ((\mathbb{R} \times \mathbb{R}) \mapsto \mathbb{R}^{\geq 0})$

e.g.  $\sigma(v)(s, t) :=$  number of events in interval  $[s, t)$  on stream  $v$

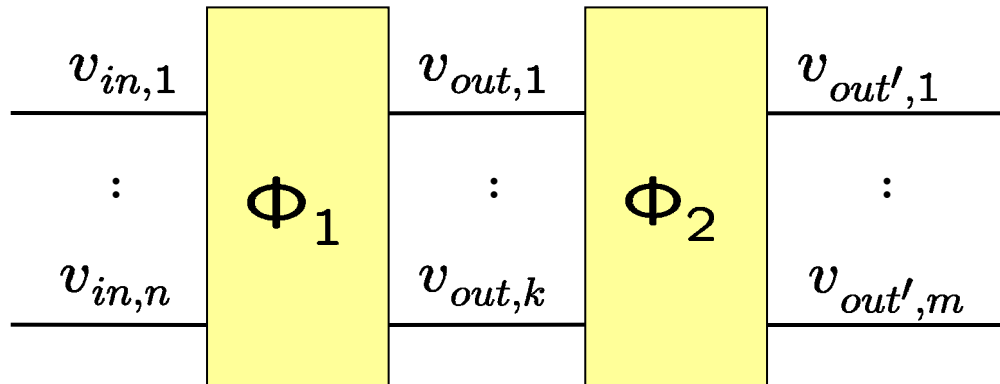
Component = trace mapping

$$\varphi : Tr(V_{in}) \mapsto Tr(V_{out})$$

System = trace transformer

$$\psi : Tr(V_{in} \cup V_{out}) \mapsto Tr(V_{in} \cup V_{out})$$

# Operational model (2)



$V$  : set of streams

$Char(V)$  : set of characterizations on streams  $V$

“Characterization = set of traces”

Stream **characterization**:  $\Sigma : V \mapsto 2((\mathbb{R} \times \mathbb{R}) \mapsto \mathbb{R}^{\geq 0})$

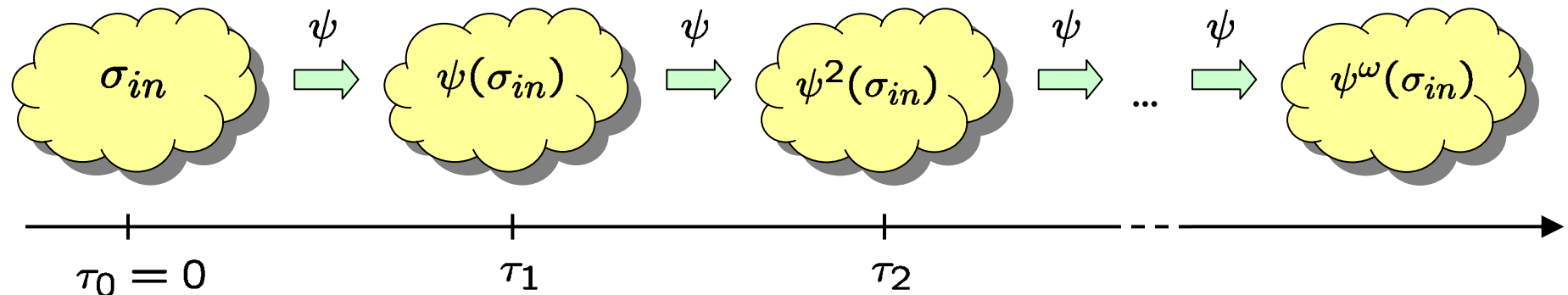
e.g.  $\Sigma(v)(s, t) :=$  bounds on number of events in interval  $[s, t)$  on stream  $v$

$$\sigma \models \Sigma \quad \text{iff} \quad \forall v \in V \quad \sigma(v) \in \Sigma(v)$$

Component = characterization mapping  $\Phi : Char(V_{in}) \mapsto Char(V_{out})$

System = characterization transformer  $\Psi : Char(V_{in} \cup V_{out}) \mapsto Char(V_{in} \cup V_{out})$

# Assumption: Simulatable system (1)



$$\forall i \geq 0 \quad \sigma \simeq_{\tau_i} \sigma' \Rightarrow \psi(\sigma) \simeq_{\tau_{i+1}} \psi(\sigma')$$

“Any trace on  $V_{in}$  induces a unique trace on  $V_{out}$ ”

# Assumption: Simulatable system (2)

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- This assumption excludes:
- Non-deterministic components
  - Zero-delay cycles

In MPA-RTC a system is simulatable according to the previous definition if:

- The time needed to process events on a components is  $> 0$
- The system does not contain any cycle of resource streams

# Outline

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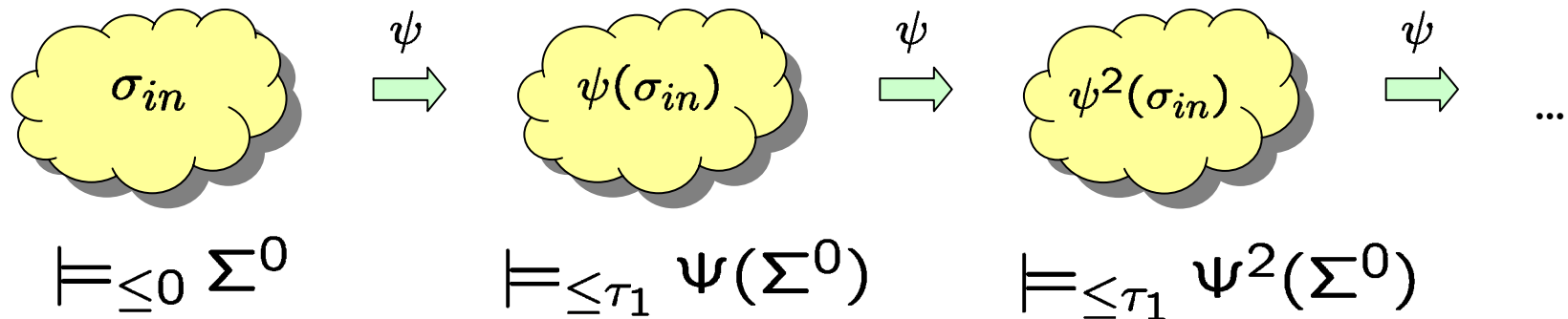
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# ① Correctness of fixed point

Question: Given  $\psi, \Sigma_{in}, \sigma_{in} \models \Sigma_{in}$   
Will the trace  $\psi^\omega(\sigma_{in})$  on input  $\sigma_{in}$  satisfy the  
limit of a sequence  $\Sigma^0, \psi(\Sigma^0), \psi(\psi(\Sigma^0)), \dots$ ?

If  $\Sigma^0$  is satisfiable and also agrees with  $\Sigma_{in}$  on  $V_{in}$  then

$$\psi^\omega(\sigma_{in}) \models_{\leq \tau_i} \psi^i(\Sigma^0) \quad \forall i \geq 0$$



# Correctness of fixed point

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- ⇒
- The iteration is safe
  - If it converges then the fixed point is correct

## ② Convergence

Question: When will the sequence  $\Sigma^0, \Psi(\Sigma^0), \Psi(\Psi(\Sigma^0)), \dots$  converge?

$\models$  introduces a partial order  $\sqsubseteq$  on  $Char(V)$ :

$$\Sigma \sqsubseteq \Sigma' \text{ iff } \sigma \models \Sigma \Rightarrow \sigma \models \Sigma' \quad \forall \sigma \in Tr(V)$$

Assume  $(Char(V), \sqsubseteq)$  constitutes a chain-complete poset and  $\Psi$  is monotone and continuous

$\Rightarrow \Psi$  has unique smallest fixpoint  $\Sigma^*$

$\Sigma^0, \Psi(\Sigma^0), \Psi(\Psi(\Sigma^0)), \dots \rightarrow \Sigma^*$  if

- $\Sigma^0$  agrees with  $\Sigma_{in}$  on  $V_{in}$
- $\Sigma^0$  is satisfied by at least one actual system trace
- $\Sigma^0 \sqsubseteq \Sigma^*$



### 3 Initial approximation

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Question: How to choose  $\Sigma^0$  ?

1. Construct  $\sigma$  such that  $\sigma|_{V_{in}} \models \Sigma_{in}$  and satisfies  $\Sigma^*$

e.g. Find **one** actual system trace by **simulation**

2. Let  $\Sigma_\sigma$  be the tightest characterization for  $\sigma$

Use  $\Sigma_\sigma$  as initial approximation  $\Sigma_0$

Alternative:  
long term rates  
[Schiøler 2005]

3) Perform fixed point iteration

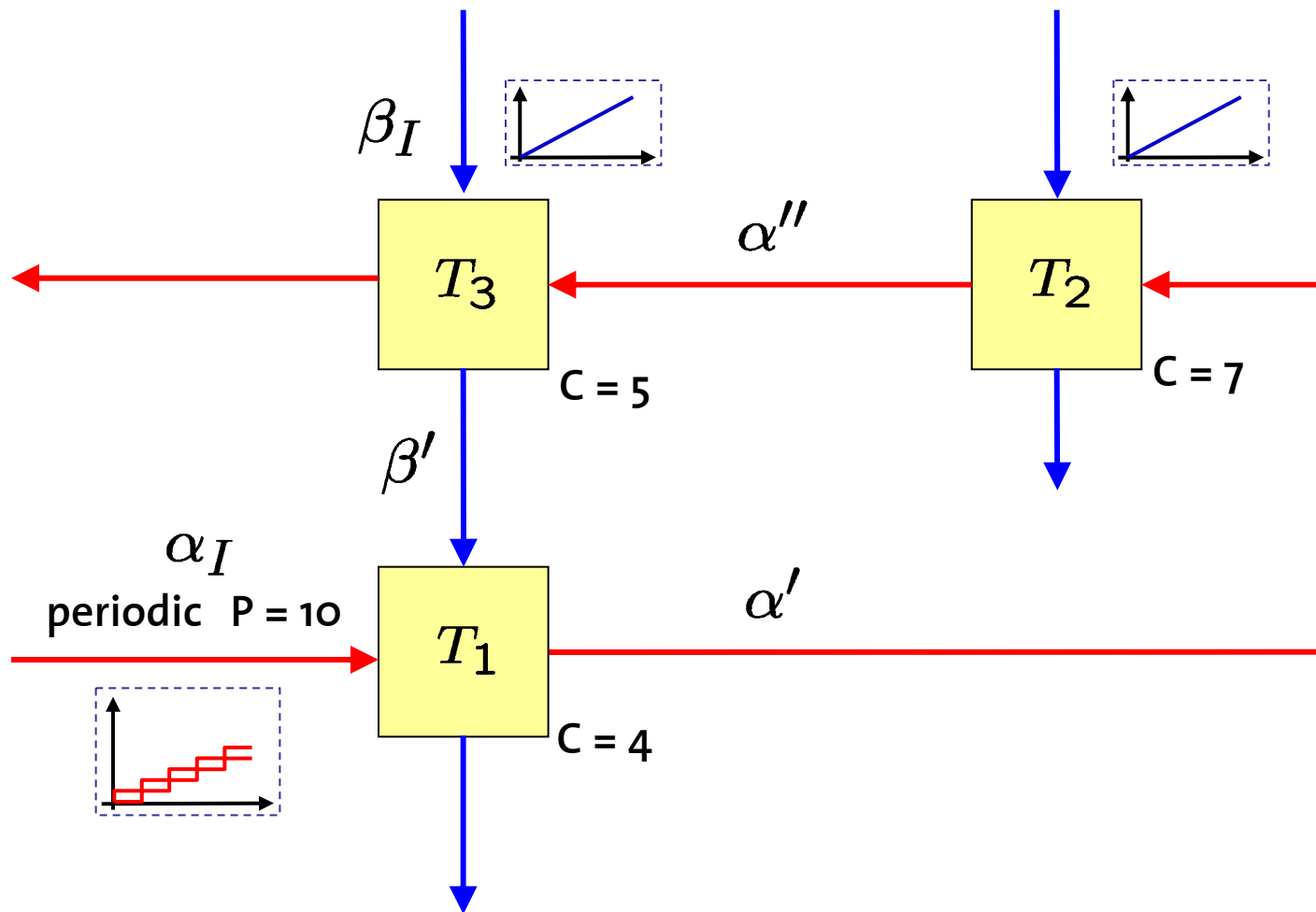
Guaranteed convergence to  $\Sigma^*$  (optimal fixed point of  $\Psi$ )

# Outline

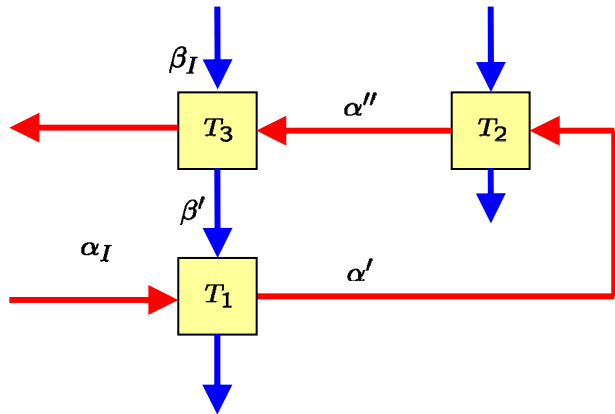
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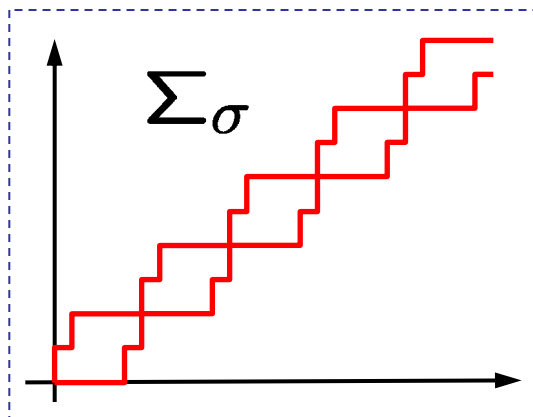
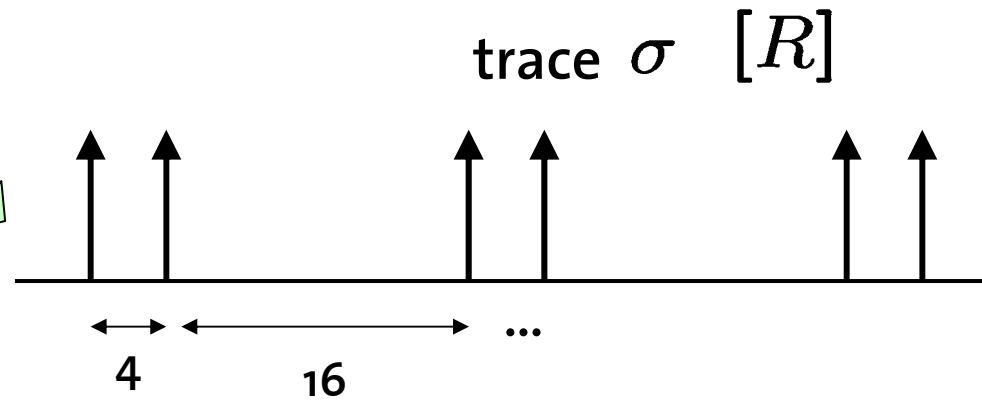
# Example



# Example (1)



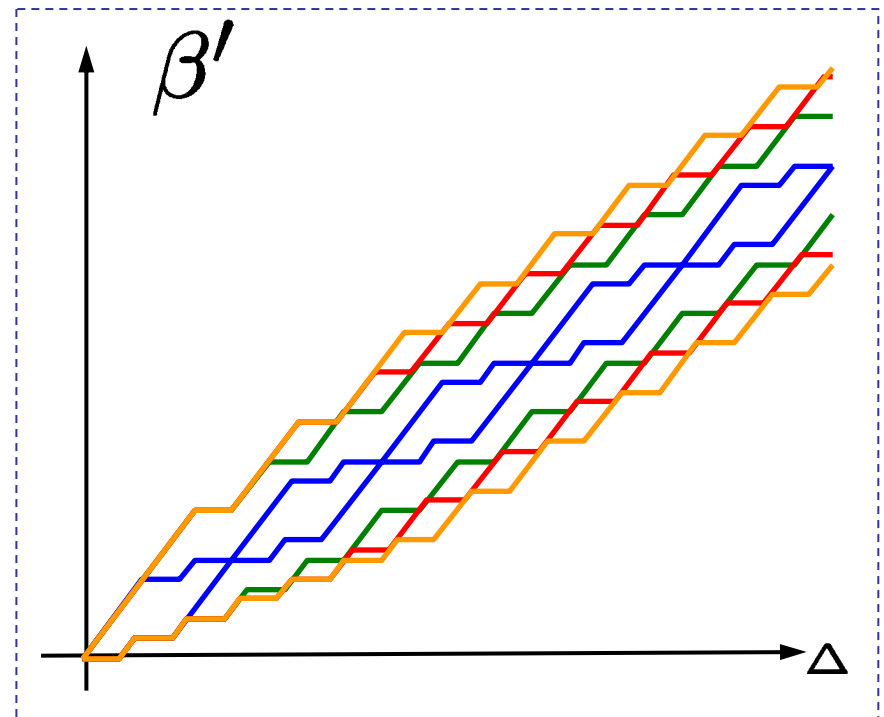
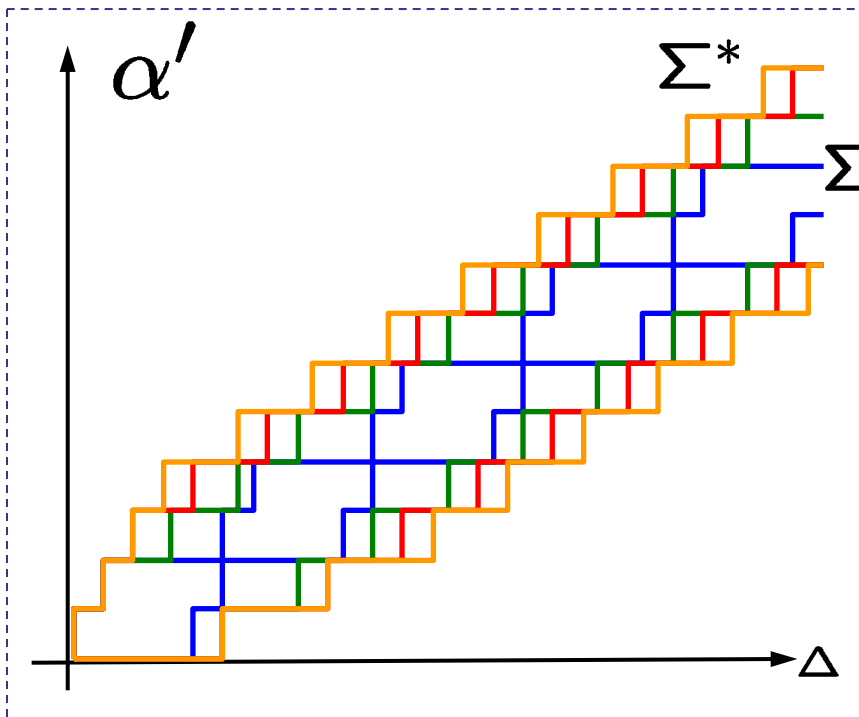
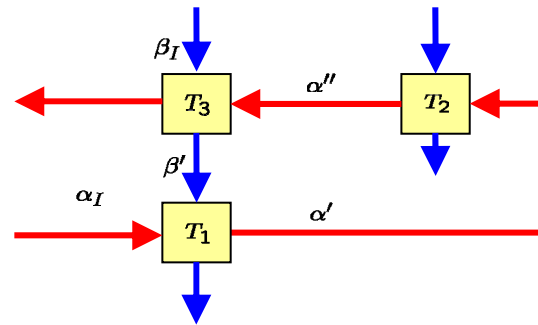
Simulation:



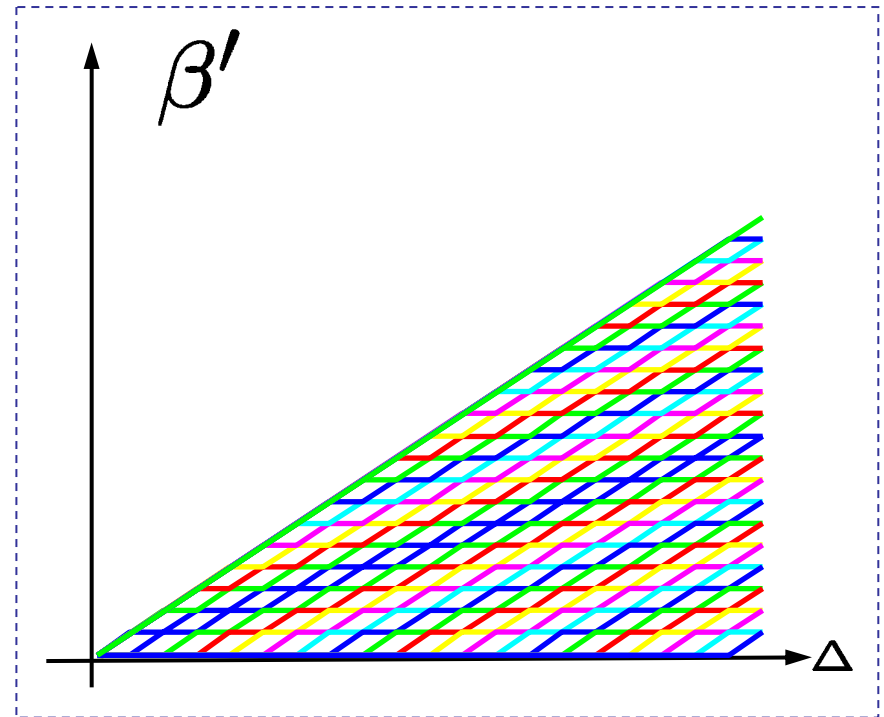
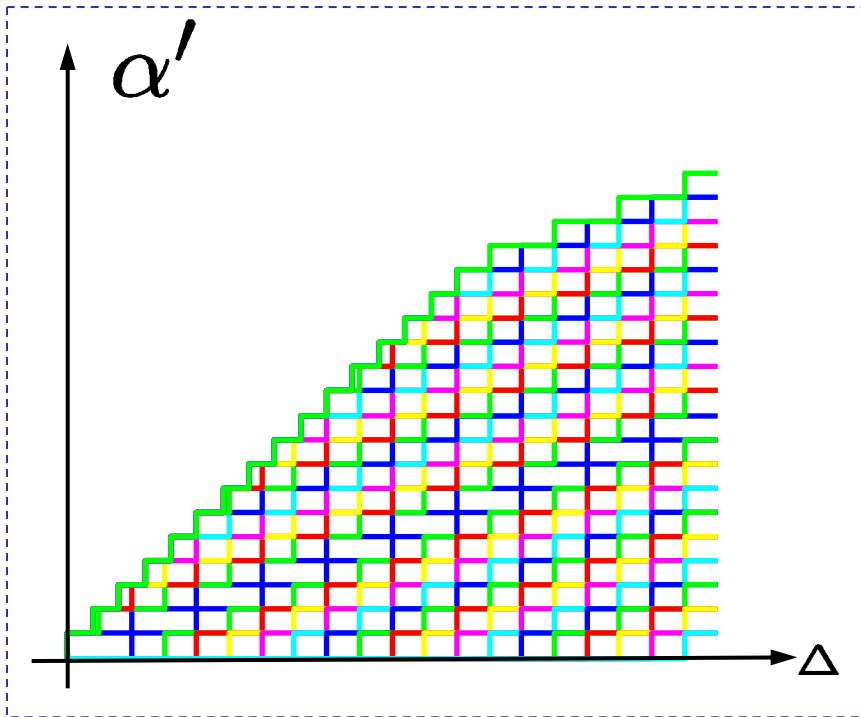
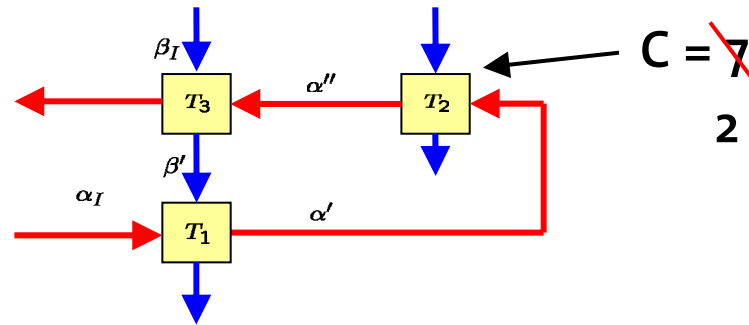
$$\alpha^{/l} = R \bar{\otimes} R$$

$$\alpha^{/u} = R \otimes R$$

# Example (2)



# Open problem: Accuracy



# Outline

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# Conclusions

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- We proved results about **correctness** and **convergence** of fixed point calculations in Modular Performance Analysis
- The results are **not limited to MPA-RTC**, but apply also to other formalisms that specify quantitative properties of component-based systems
- **Mild assumptions**: “No zero-delay cycles”
- Approach: Start fixed point iteration from **strong initial approximation**, which is included in the sought optimal fixed point (obtainable e.g., by simulation)





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Thank you!

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